

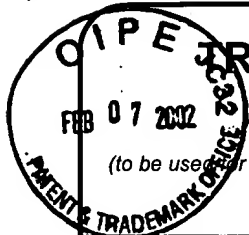
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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		<b>Application Number</b>	09/868,682
		<b>Filing Date</b>	February 8, 1999
		<b>First Named Inventor</b>	Nichols
		<b>Group Art Unit</b>	
		<b>Examiner Name</b>	
<b>Total Number of Pages in This Submission</b>	1	<b>Attorney Docket Number</b>	05222.00159

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<b>Firm or Individual name</b>	Charles L. Miller Banner & Witcoff, Ltd.
<b>Signature</b>	<i>Charles L. Miller</i>
<b>Date</b>	February 4, 2002

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By: *Tony Harris*  
(person actually depositing)

In the Application of: Nichols

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Serial Number: 09/868,682

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Filing Date: February 8, 1999

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Title: A System, Method and Article of Manufacture for a Goal Based System Utilizing an

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Attorney Docket No. 05222.00159)

In the Application of: )

Nichols, et al. )

Serial No.: 09/868,682 )

Filed: February 8, 1999 )

For: System, Method and Article of )

Manufacture for ..... )

Group Art Unit: n/a

Examiner: n/a

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

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Dear Sir:

Pursuant to 37 C.F.R. Section 1.97-1.98, applicants wish to make the following references of record in the above-identified application. These references may be material to the patentability of the presently pending claims. A completed Form -1449 is enclosed. Due to the large number of references and large number of related applications, the Applicant filed a single copy of all of the references in U.S. Patent Application Serial No. 09/219,478 in an Information Disclosure Statement filed February 7, 2002. U.S. Patent Application Serial No. 09/219,478 is assigned to Examiner Ingberg in Group Art Unit 2122.

A Protest under 37 C.F.R. 1.291 was filed in U.S. Patent Application Serial No. 09/219,478. The Applicant is attaching a copy of the Protest, as well as a Response filed in the same application by the Applicant. The prosecution of U.S. Patent Application Serial No. 09/219,478 remains open. The Protest and Response are attached as a courtesy to the Examiner, but are not being listed on the attached Form-1449, because they are not prior art references.

This Information Disclosure Statement is being filed within three months of the application filing date before the mailing of a first Office Action on the merits, accordingly, no fee or separate requirements are required. However, if a fee is due in

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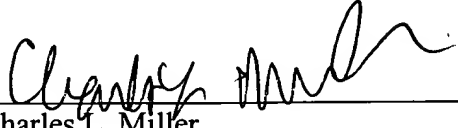
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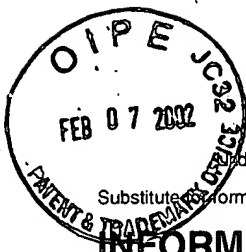
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Respectfully submitted,  
BANNER & WITCOFF, LTD.

Date: February 7, 2002

  
\_\_\_\_\_  
Charles L. Miller  
Reg. No. 43,805



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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number : 09/868,682

Filing Date: 02/08/1999

First Named Inventor: Nichols

Group Art Unit: n/a

Examiner Name: n/a

Attorney Docket Number: 05222.00159

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Sheet 1 of 14

### U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Words, Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-4,622,013	11/11/1986	Cherchio	
		US- 4,874,784	10/17/1989	Clancey	
		US- 4,981,766	01/02/1990	Derr, et al.	
		US- 4,931,950	06/05/1990	Isle, et al.	
		US- 4,964,077	10/16/1990	Eisen, et al.	
		US- 4,977,529	12/11/1990	Gregg, et al.	
		US- 5,002,491	03/26/1991	Abrahamson, et al.	
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		US- 5,189,402	02/23/1993	Naimark, et al.	
		US- 5,208,745	05/04/1993	Quentin, et al.	
		US- 5,208,898	05/04/1993	Funabashi, et a	
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		US- 5,311,422	05/10/1994	Loftin, et al.	
		US- 5,317,688	05/31/1994	Watson, et al.	
		US- 5,326,270	07/05/1994	Ostby, et al.	
		US- 5,359,701	10/25/1994	Chiang, et al.	
		US- 5,372,507	12/13/1994	Goleh	

### FOREIGN PATENT DOCUMENTS

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		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)			
		EP 0 689 132 A2	12/27/95	Laffra	
		EP 0 710 942 A2	05/08/96	Siefert	
		EP 0 710 942 A2	05/08/96	Siefert	
		EP 0 798 655 A2	10/01/97	Jervis, et al.	
		WO 00/04478	01/27/00	Jonsson	
		WO 97/44766	11/27/97	Cook	
		WO 98/03953	01/29/98	Simmons	
		WO 98/25251	06/11/98	Ho	
		WO 98/32109	07/23/98	De Lange	

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number : 09/868,682

Filing Date: 02/08/1999

First Named Inventor: Nichols

Group Art Unit: 2100

Examiner Name: Liza

Attorney Docket Number: 05222.00159

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### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

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		"Evaluating the effectiveness of feedback in SQL-tutor", IEEE, proc. Int. workshop IWALT, pp 143-144	
		"Automated Exercise Progression in Simulation-Based Training," IEEE Trans. On Systems, Man, and Cybernetics, Vol. 24(6), pp. 863-74, June 1994	
		"ACM Transactions on Information Systems," 1988 at www.acm.org/pubs/toc/Abstracts/tois/592994.htm	
		The Virtual Classroom: Great Expectations. Delivering Graduate Education by Computer: A Success Story, 1996.	
		"A Special Section -- Goal Based Scenarios: A New Approach to Professional Education: Reengineering Education at Andersen Consulting," Educational Technology, Nov.-Dec. 1994	
		"Goal-Based Scenarios and the Problem of Situated Learning: A Commentary on Andersen Consulting's Design of Goal-Based Scenarios," Educational Technology, Nov.-Dec. 1994	
		"DDD--A Free Graphical Front-End for UNIX Debuggers," Jan. 1996, ACM Sigplan Notices, Vol. 31, No. 1, pp. 22-27	
		"Automated Training of Legal Reasoning" at http://www.bileta.ac.uk/94papers/muntjew.html	
		"The Virtual Learning Environment System," 28th Annual Frontiers in Education Conference, Conference Proceedings, Vol. 2, Nov. 4-7, 1998	
		"Developing a Design System into an Intelligent Tutoring System," Int'l J. Engr. Eud., Vol. 13(5), pp-341-46, Dec. 1997	
		"CAPTOR a model for delivering web based intelligent tutoring system technology", IEEE Proc. DASC vol. 2, pp 5.C.4.1-5	

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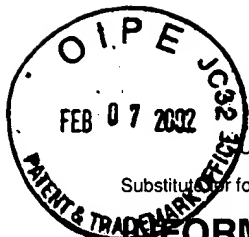
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Application Number : 09/868,682

Filing Dat : 02/08/1999

First Named Inventor: Nichols

Group Art Unit: n/a

Examiner Name: n/a

Attorney Docket Number: 05222-00059

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		"Bridging the Virtual and the Physical: The InterSim as a Collaborative Support Interface," Proc. Of 1997 World Conf. On Artificial Intelligence in Education, pp. 556-58, Dec. 1997	
		"Task-Oriented Learning on the Web"; Innovations in Education and Training International, Vol. 36, No. 1, Feb. 1999	
		"Applications of BrainMaker Neural Network to stocks, business, medical, manufacturing" at <a href="http://www.calsci.com/Applications.html">www.calsci.com/Applications.html</a>	
		"KBLPS Overview" at <a href="http://www.cgi.com/CGIWEB/KBLPS/overindex4.html">www.cgi.com/CGIWEB/KBLPS/overindex4.html</a>	
		"Smartlaw: adapting classic expert system techniques for the legal research domain", ACM pp 133-141	
		"Interactive Multimedia Instructs the Individual," Oct. 1994, Occupational Health & Safety, Vol. 63, No. 10, pp 7144-145	
		"Practical methods for automatically generating typed links", ACM Hypertext, pp 31-41	
		"Popular Theory Supporting the Use of Computer Simulation for Experiential Learning," <a href="http://www.centurionsys.com/rtdl57.html">http://www.centurionsys.com/rtdl57.html</a> , Aug. 1997	
		"Technical Report: Computer Aided Education and Training Initiative" at <a href="http://advlearn.lrdc.pitt.edu/advlearn/papers/FINALREP.html">http://advlearn.lrdc.pitt.edu/advlearn/papers/FINALREP.html</a>	
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		"Teaching Real-World Analysis Skills for Goal-Based Scenario," pp. 68-74	

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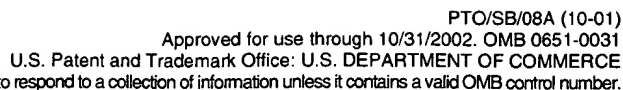
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number : 09/868.682

**Filing Date: 02/08/1999**

First Named Inventor: Nichols

Group Art Unit: n/a

Examiner Name: n/a

Attorney Docket Number: // 05222.00159

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		Number - Kind Code <sup>2</sup> (if known)			
		US-5,727,950	03/17/1998	Cook, et al.	
		US- 5,745,652	04/28/1998	Bigus	
		US- 5,772,446	06/30/1998	Rosen	
		US- 5,779,468	07/14/1998	Ho, et al.	
		US- 5,788,508	08/04/1998	Lee, et al.	
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		US- 5,799,151	08/25/1998	Hoffer	
		US- 5,799,292	08/25/1998	Hekmatpour	
		US- 5,806,056	09/08/1998	Hekmatpour	
		US- 5,810,747	09/22/1998	Brudney, et al.	
		US- 5,822,745	10/13/1998	Hekmatpour	
		US- 5,823,781	10/20/1998	Hitchcock, et al.	
		US- 5,823,788	10/20/1998	Lemelson, et al.	
		US- 5,835,683	11/10/1998	Corella, et al.	
		US- 5,868,575	02/09/1999	Kuczewski	
		US- 5,870,768	02/09/1999	Hekmatpour	
		US- 5,875,437	02/23/1999	Atkins	
		US- 5,889,845	03/30/1999	Staples, et al.	
		US- 5,893,123	04/06/1999	Tuinenga	
		US- 5,911,581	06/15/1999	Knight Jeremy, et al.	

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Application Number : 09/868,682

Filing Date: 02/08/1999

First Named Inventor: Nichols

Group Art Unit: n/a

Examiner Name: n/a

Attorney Docket Number: 05222.00159

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**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

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		"Artificial Intelligence and Mathematics Education" at <a href="http://www.rand.org/hot/mcarthur/Papers/aied.html">http://www.rand.org/hot/mcarthur/Papers/aied.html</a>	
		"The Roles of Artificial Intelligence in Education: Current Progress and Future Prospects" at <a href="http://www.nib.unicamp.br/recursos...education/intelligent-tutoring.html">http://www.nib.unicamp.br/recursos...education/intelligent-tutoring.html</a>	
		"WITS: A Reusable Architecture for a VR-Based ITS" at <a href="http://advlearn.lrdc.pitt.edu/its-arch/papers/tam.html">http://advlearn.lrdc.pitt.edu/its-arch/papers/tam.html</a>	
		"Brainmaker" at <a href="http://www.npiec.on.ca/~echoscan/28-04.htm">www.npiec.on.ca/~echoscan/28-04.htm</a>	
		"News for ESAP" at <a href="http://www.hops.wharton.upenn.edu/~esap/news.html">www.hops.wharton.upenn.edu/~esap/news.html</a>	
		"Learning with Computers," May 1994, Accountancy Vol. 113, No. 1209, pp. 60-64	
		"User-Sensitive Multimedia Presentation System," March 1, 1996, Vol. 39, No. 3, pp. 93-94	
		"Microworlds and Simuworlds: Practice Fields for the Learning Organization," Spring 1996, Organizational Dynamics Vol. 24, No. 4, pp. 36-49	
		"What are Intelligent Coaching Systems and Why are they (in)evitable?" IEEE Colloquium on Artificial Intelligence in Educational Software, pp. 2/1-2/5, June 1998	
		"Development of an Integrated Simulator and Real Time Plant Information System," Advances in Operational Safety of Nuclear Power Plants, Proceedings of an International Symposium 1996.	

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number : 09/868,682

Filing Date: 02/08/1999

First Named Inventor: Nichols

Group Art Unit: n/a

Examiner Name: **RECEIVED**

Attorney Docket Number: 05222.00159

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U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-5,974,446	10/26/1999	Sonnenreich, et al.	
		US- 5,987,443	11/16/1999	Nichols, et al.	
		US- 6,003,021	12/14/1999	Zadik, et al.	
		US- 6,015,348	01/8/2000	Lambright, et al.	
		US- 6,016,486	01/18/2000	Nichols	
		US- 6,018,730	01/25/2000	Nichols, et al.	
		US- 6,018,731	01/25/2000	Bertrand, et al.	
		US- 6,018,732	01/25/2000	Bertrand, et al.	
		US- 6,023,691	02/08/2000	Bertrand et al.	
		US- 6,023,692	02/08/2000	Nichols	
		US- 6,026,386	02/15/2000	Lannert, et al.	
		US- 6,029,156	02/22/2000	Lannert, et al.	
		US- 6,029,158	02/22/2000	Bertrand, et al.	
		US- 6,029,159	02/22/2000	Zorba, et al.	
		US- 6,032,141	02/29/2000	O'Connor, et al.	
		US- 6,064,998	05/16/2000	Zabloudil, et al.	
		US- 6,067,537	05/23/2000	O'Connor, et al.	
		US- 6,067,538	05/23/2000	Zorba, et al.	
		US- 6,073,127	06/06/2000	Lannert, et al.	
		US- 6,085,184	07/04/2000	Bertrand, et al.	

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<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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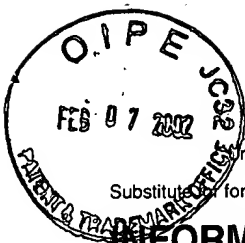
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First Named Inventor: Nichols

Group Art Unit: n/a

Examiner Name: n/a

Attorney Docket Number: 105222-00159

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		"A Goal-Centered Architecture for Intelligent Tutoring Systems," Proc. Of 7th World Conf. On Artificial Intelligence in Education, pp. 307-314, Aug. 1995	
		"Smart Avatars in JackMOO," Proceedings of the 1999 IEEE Conference on Virtual Reality, pp. 156-63	
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		"Evaluating Intelligent Tutoring with Gaming Simulations," Proc. Of the 1995 Winter Simulation Conf., pp. 1177-83, Dec. 1995	
		"Persistent Issues in the Application of Virtual Environment Systems to Training," August 1996, Proceedings. Third Annual Symposium on Human Interaction with Complex Systems, IEEE, pp. 124-32.	
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		"Pedagogical, natural language and knowledge engineering techniques in SOPHIE I, II, and III," in Intelligent Tutoring Systems, D. Sleeman & J.S. Brown eds., pp. 227-82, Dec. 1982	
		Interactive Multimedia Distance Learning (IMDL): The Prototype of the Virtual Classroom, 1994.	
		"Understanding Organizational Dynamics of IT-Enabled Change: A Multipedia Simulation Approach," Winter 1997/1998, Journal of Mangement Information Systems: JMIS, Vol. 14, No. 3, pp. 109-140.	
		"Intelligent Computer-Aided Instruction: A Survey Organized Around System Components," Jan. 1989, IEEE Inc., New York, Vol. 49, No. 1, pp. 40-57.	

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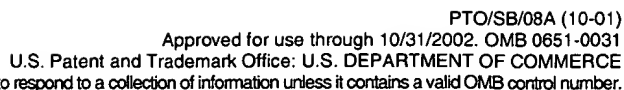
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Application Number : 09/868,682

Filing Date: 02/08/1999

First Named Inventor: Nichols

Group Art Unit: n/a

Examiner Name: n/a

Attorney Docket Number: 05222,00159

PTO/SB/08A (10-01)  
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		"Conducting and Supporting a Goal-Based Scenario Learning Environment," Educational Technology, Nov.-Dec. 1994	
		"Interface design issue for advising giving expert systems", Comm. Of the ACM, vol 30, no. 1, pp14-31	
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		"Architecture of an Intelligent Tutoring System on the WWW," Proc. Of 1997 World Conf. On Artificial Intelligence in Education, pp. 39-46 Dec. 1997	
		"Socialized Collaborative Learning in Multimedia Virtual Worlds" URL: <a href="http://www.iscs.nus.edu.sg/labs/learning/lels/VRML.html">http://www.iscs.nus.edu.sg/labs/learning/lels/VRML.html</a> ; viewed Feb. 16, 1999	
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		"Teaching Through Case-Based Reasoning: An ITS Engine Applied to Business Communication," Proc. Of 1997 World Conf. On Artificial Intelligence in Education, pp. 111-18 Dec. 1997	
		"Train with Less Pain," Oct. 13, 1997, Informationweek No. 652, pp. 150-154	
		"Virtual Learning: A Revolutionary Approach to Building a Highly Skilled Workforce," Autumn 1998, Personnel Psychology Vol. 51, No. 3, pp. 767-71	
		"Intelligent Tutoring Systems: An Overview" at <a href="http://www.intellectbooks.com/authors/lawler/its.htm">http://www.intellectbooks.com/authors/lawler/its.htm</a>	

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Application Number : 09/868,682

Filing Date: 02/08/1999

First Named Inventor: Nichols

Group Art Unit: n/a

Examiner Name: n/a

Attorney Docket Number: 05222-00159

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		"No More Boring CPE," July 1997, Accounting Technology, pp. 27-35	
		Computer Dictionary, 1997, pp. 264, 276, 383, 446, 462, 507.	
		"Rule-Based Programming with OPS5" at <a href="http://www.mkp.com/books_catalog/O-934613-51-6.asp">www.mkp.com/books_catalog/O-934613-51-6.asp</a>	
		"From Computer-Assisted Instruction to Intelligent Tutoring Systems," J. Artificial Intelligence in Education, V. 2(3), pp. 39-50, Dec. 1997	
		"MUSE U.S. Patents" at <a href="http://www.muser.com/html/patents.html">www.muser.com/html/patents.html</a>	
		"Distributed Intelligent Tutoring on the Web," Proc. Of 1997 World Conf. On Artificial Intelligence in Education, pp. 482-89, Dec. 1997	
		"RadTutor: The Theoretical and Empirical Basis for the Design of a Mammography Interpretation Tutor," Proc. Of 1997 World Conf. On Artificial Intelligence in Education, pp. 386-393 Dec. 1997	
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		"Using Planning Techniques to Provide Feedback in Interactive Learning Environments," Proc. Sixth Int'l Conf. On Tools with Artificial Intelligence," pp. 700-03, Nov. 1994	
		"An Electronic Infrastructure for a Virtual University," Communications of the ACM, Vol. 40, No. 9, Sep. 1997.	
		"Simulation Technology and Parallelism in Learning Environments" at <a href="http://www.to.utwente.nl/prj/min/Book/chapter1.htm">http://www.to.utwente.nl/prj/min/Book/chapter1.htm</a>	

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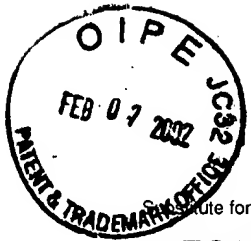
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number : 09/868,682

Filing Dat : 02/08/1999

First Named Inventor: Nichols

Group Art Unit: n/a

Examiner Name: n/a

Attorney Docket Number: 05222.00159

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		"Engines for Education" URL: <a href="http://www.ils.nwu.edu/~e_for_e/nodes/I-M-INTRO-ZOOMER-pg.html">http://www.ils.nwu.edu/~e_for_e/nodes/I-M-INTRO-ZOOMER-pg.html</a> ; viewed Feb. 15, 1999.	
		"FinPlan System" at <a href="http://www.riai.org.ru/FinPlan/">www.riai.org.ru/FinPlan/</a>	
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		"Computer Aided Instruction for Statistics: A Knowledge-Based Systems Approach," Int'l J. of Computers in Adult Education and Training, Vol. 5(1), pp. 3-14.	
		"Teaching with the internet" 1998, JAI Press Inc., USA. Vol no. 3, pp 217-222	
		"Development of a Simulation-Based Intelligent Tutoring System for Assisting PID Control Learning," Jan. 1994, IEICE Transactions on Information and Systems, E77-D, No. 1, Tokyo Japan, pp. 108-17.	
		"Authoring Intelligent Tutoring Systems: An Analysis of the State of the Art" at <a href="http://www.cs.umass.edu/~tmurray/papers/ATSummary/AuthTools.html">http://www.cs.umass.edu/~tmurray/papers/ATSummary/AuthTools.html</a>	
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		SMART Evaluation: Cognitive Diagnosis, Mastery Learning & Remediation," Proc. Of 7th World Conf. On Artificial Intelligence in Education, pp. 123-30, Aug. 1995	
		"Decision Pro3.0" at <a href="http://www.vanguardsw.com/">www.vanguardsw.com/</a>	

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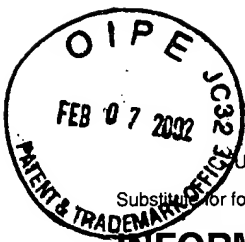
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		"The SimQuest Authoring System for Simulation-Based Discovery Learning," Proc. Of 1997 World Conf. On Artificial Intelligence in Education, pp. 79-86, Dec. 1997	
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		"Automate Your Business Plan" at <a href="http://www.business-plan.com/screen2.html">www.business-plan.com/screen2.html</a>	
		"Kiplinger TaxCut Press Releases" at <a href="http://www.taxcut.com/taxcut/98press_releases/pr98_nowshipping.html">http://www.taxcut.com/taxcut/98press_releases/pr98_nowshipping.html</a>	
		"TurboTax Deluxe Product Information" at <a href="http://www.intuit.com/turbotax/prodinfo/ttdlx.html">http://www.intuit.com/turbotax/prodinfo/ttdlx.html</a>	
		"Why Should the Teens Have All the Best Games? Management Skill with Oil, Health, Housing Games," Computergram Int'l, June 176, 1996	
		"Template Software Business Simulator, Chapter 8, August 1997	
		"Template Software Workflow Simulator, Chapter 8, June 1998	
		"Goal-Based Scenarios", Technical Report # 36, Northwestern University, The Institute for the Learning Sciences, December 1992, pp. 1-30	

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